

Enhanced Wire Due Diligence Questionnaire



This questionnaire is designed to help the Credit Union identify the needs of our members and to understand the type, size and frequency of transactions. Some transactions carry a higher degree of risk, which requires enhanced due diligence. This also provides us with an opportunity to be "Of Service" and allows us to make appropriate recommendations that align with our members' financial goals and objectives. We appreciate your cooperation. Failure to respond may result in the suspension of accounts and service.

All questions must be answered in their entirety or the form will be considered incomplete

Department	Reason for Due Diligence
Compliance Department	Wire Activity Review

Account Owner's Name	Account Number

Review of Wire Activity

Please provide a detailed explanation of the wire activity specified in the enclosed letter.

A. Source/Purpose	B. Amount & Frequency

C. Relationship to Sender(s)/Receiver(s)

Anticipated Wire Activity

Incoming Wires: Domestic International Both (Domestic and International) None

A. Source/Purpose	B. Anticipated Amount & Frequency

C. Relationship to Originator(s)/Sender(s)	D. Country(ies)/State(s)

Outgoing Wires: Domestic International Both (Domestic and International) None

A. Source/Purpose	B. Anticipated Amount & Frequency

C. Relationship to Beneficiary(ies)/Receiver(s)	D. Country(ies)/State(s)

Member Information

ID type (i.e., Driver's License, State ID, Passport), ID Number, Country/State Issued, and the Expiration Date

Employer and Occupation (If retired, disabled or unemployed, provide previous occupation)

If Self-Employed, please provide the Business/Trade Name and State of Registration

All Sources of Wealth/Income (i.e., employment, rental income, investment income, retirement...)

Telephone Numbers

Home

Cell

Work

Alternative

Purpose of this Account

Where Else Do You Bank – Name of Other Financial Institutions

Citizenship/Residency Status

We Welcome Any Comments You May Have (Expand on answers from the sections above or use for additional comments. Has your overall experience with the credit union been good? Are you willing to have open communication as your transactions change?)

By signing below, I certify that the information provided above is true and correct, and I understand that the Credit Union may require additional due diligence regarding this account or others, as the activity or transactions change.

Signature

Date